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# **CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington D.C. 20231-9998, on:

Date: May 31, 2001 By Julie A. Eslick  
Signature of person depositing First-Class Mail

## **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

### **TRANSMITTAL OF MISSING PARTS FOR PATENT APPLICATION PURSUANT TO 37 C.F.R. §1.53(d)**

Applicant(s): Shinichi YAMAMOTO, et al.

Docket No.: 28569.9300

Serial No.: 09/815,683

Filed: March 23, 2001

TITLE: POWER SUPPLY SYSTEM

Group Art Unit: 2836

Confirmation No.: 5853

Assistant Commissioner for Patents  
Box MISSING PARTS  
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on May 21, 2001, in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

#### **1. Small Entity Status**

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

## 2. Fee Calculation

Basic Fee (\$710) + surcharge (\$130)					=	\$ 840.00
Total Claims	14 minus	20 =	x	\$ 18.00	=	.00
Independent Claims	3 minus	3 =	x	\$ 80.00	=	.00
Multiple dependent claim fee, if applicable				\$260.00	+	0.00

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/> Missing parts filed within <b>first</b> month after due date – add	\$ 110.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>second</b> month after due date – add	\$ 380.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>third</b> month after due date – add	\$ 870.00	+	N/A
<input type="checkbox"/> Missing parts filed within <b>fourth</b> month after due date – add	\$1360.00	+	N/A

**Subtotal:** 840.00

If one of the “small entity” boxes above is checked, enter half (1/2) of the Subtotal and subtract. - N/A

Add: Fee (\$40.00) for recording assignment and other fee(s): 40.00

**Less fees charged to Snell & Wilmer’s Deposit Account No. 19-2814** 710.00

**TOTAL FEES ENCLOSED:** 170.00

## 3. Enclosures (check as applicable)

☒ Notice of Missing Parts (copy)  
☒ Executed Combined Declaration and Power of Attorney  
☐ Power of Attorney  
☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27  
☒ Check for **remaining fees (Snell & Wilmer’s check No. 284884).**  
☒ Assignment and required Recordation Form Cover Sheet  
☒ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)  
☒ Other: Certified Copy of foreign Priority Document No. 2000-084298 filed in Japan on March 24, 2000

### **Extra copies of this letter:**

☒ for charge to deposit account (**deficiency only**)  
☒ for assignment

## 4. Manner of Payment

☐ Please charge Deposit Account No. 19-2814 in the amount of \$\_\_\_\_\_. **A duplicate copy of this sheet is attached.**  
☒ Check in the amount of \$170.00 is attached (Snell & Wilmer Check No. 284884).

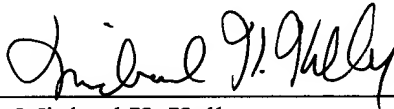
**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

**5. Assignment**

[ X ] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

The Patent and Trademark Office is respectfully requested to send a corrected filing receipt for this application to the undersigned attorney and forward the application for examination.

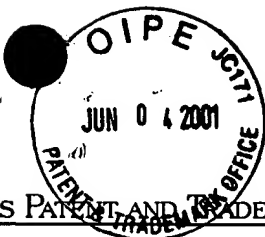
Respectfully submitted,

By   
Michael K. Kelly  
Reg. No. 32,848

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UNITED STATES PATENT AND TRADEMARK OFFICE



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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,683	03/23/2001	Shinichi Yamamoto	28569.9300

CONFIRMATION NO. 5853

FORMALITIES LETTER



\*OC000000006095368\*

Michael K. Kelly  
Snell & Wilmer, LLP  
One Arizona Center  
400 E. Van Buren Street  
Phoenix, AZ 85004-2202

Date Mailed: 05/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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